

**54. IWK**  
Internationales Wissenschaftliches Kolloquium  
International Scientific Colloquium



**Information Technology and Electrical  
Engineering - Devices and Systems, Materials  
and Technologies for the Future**



Faculty of Electrical Engineering and  
Information Technology

Startseite / Index:

<http://www.db-thueringen.de/servlets/DocumentServlet?id=14089>

## Impressum

Herausgeber: Der Rektor der Technischen Universität Ilmenau  
Univ.-Prof. Dr. rer. nat. habil. Dr. h. c. Prof. h. c.  
Peter Scharff

Redaktion: Referat Marketing  
Andrea Schneider

Fakultät für Elektrotechnik und Informationstechnik  
Univ.-Prof. Dr.-Ing. Frank Berger

Redaktionsschluss: 17. August 2009

Technische Realisierung (USB-Flash-Ausgabe):  
Institut für Medientechnik an der TU Ilmenau  
Dipl.-Ing. Christian Weigel  
Dipl.-Ing. Helge Drumm

Technische Realisierung (Online-Ausgabe):  
Universitätsbibliothek Ilmenau  
[ilmedia](#)  
Postfach 10 05 65  
98684 Ilmenau

Verlag:  Verlag ISLE, Betriebsstätte des ISLE e.V.  
Werner-von-Siemens-Str. 16  
98693 Ilmenau

© Technische Universität Ilmenau (Thür.) 2009

Diese Publikationen und alle in ihr enthaltenen Beiträge und Abbildungen sind urheberrechtlich geschützt.

ISBN (USB-Flash-Ausgabe): 978-3-938843-45-1  
ISBN (Druckausgabe der Kurzfassungen): 978-3-938843-44-4

Startseite / Index:  
<http://www.db-thueringen.de/servlets/DocumentServlet?id=14089>

# METRICAL PROPERTIES OF NESTED PARTITIONS FOR IMAGE RETRIEVAL

*P. Grimm<sup>1</sup>, Ye. Kinoshenko<sup>2</sup>, S. Mashtalir<sup>3</sup>, V. Shlyahov<sup>3</sup>*

<sup>1</sup> University of Applied Sciences and Arts, Germany

<sup>2</sup> Kharkiv Medical Academy of Postgraduate Education, Ukraine

<sup>3</sup> Kharkiv National University of Radio Electronics, Lenin Ave., 14, 61166, Kharkiv, Ukraine

## ABSTRACT

Image processing for the efficient retrieval should perform the ability of data granulation and interpretation. In this paper the properties of metric on nested partitions which allow to analyze objects represented at different levels of granularity and abstraction is considered. It also ensures a retrieval of image parts corresponding to the searched objects i.e. provides a search criterion for background independent objects.

**Index Terms** – Image retrieval, metrics, data granulation

## 1. INTRODUCTION

Image processing and interpretation is an important task in various applications of sensor technologies and communication systems. For this an arbitrary matching involves quantifiable similarities. A match is not merely a correspondence but a conformity that has been quantified according to its ‘goodness’. Therefore, this measure of goodness must be the matching metric at best since it gives additional opportunities e.g. to retrieve images with search speedup or to find totally correct and complete segmentation when elements of obtained partitions uniquely correspond with objects in the input image. Thus, there arise many reasons to study metrical properties of nested set partitions for solving a semantic gap between low-level features and high-level human concept since partitions are merit models of arbitrary strong hierarchical clustering.

Validation of image semantic truthful representation is a base of a reasonable compromise between under- and over-segmentation what constitutes a major cause of image understanding and recognition complexity. Desirable image simplification level has to provide the explosion of image content. In addition, under clustering of image collections we have to analyze partitions (probably nested) to get image classes with given or determined similarity threshold. Therefore, we have to know: what separates the levels of detailing? what ties these levels together? how do the levels interact with each other? In this regard, a problem of impartial partition matching is of great interest. Among the most promising metrics which particularly have

desirable properties one can name the Earth Mover’s Distance [1], Meyla variation of information [2], Mirkin metric [3], van Dongen metric [4] and metric on partitions of arbitrary measurable sets [5,6]. Although all these metrics have their specifics and all succeed to solve various tasks of measuring the granulated visual information there are still many requirements for the image recognition application which arise the need to have a tool for managing the refining or roughening of granulations specified by quotient sets. Possibility to operate with image as a dynamic hierarchical structure will allow to solve many tasks of image processing including image retrieval even object detection more effectively and also approach to many new problems on a higher intelligence level. For arbitrary measurable sets in [6] the metric with such measure type as length, area, volume, mass distribution, probability distribution, cardinality, etc. has been introduced. This metric is based on cumulative evaluation of resemblance and dissimilarity. The approach allows to get more precise definition of partitions distances using nesting quotient set ordering with respect to inclusion solely.

In the paper we have introduced various forms of metric on nested partitions and investigated geometrical properties relatively offered metric.

## 2. NESTED PARTITIONS METRICS BACKGROUND

Let some finite set  $\Omega$  with measure  $\mu(\circ)$  characterise information which should be granulized in the results of analysis. Talking about measure we understand the number of points (in the finite case), length, square, volume, mass distribution, etc. We shall assume that measure is finite, i.e.  $\mu(\Omega) < \infty$  and  $F_\Omega$  is a set of all finite subsets of the set  $\Omega$ . We shall consider  $\Pi_\Omega$  set of all finite (in terms of the elements number) partitions of the set  $\Omega$ , i.e.  $\alpha \in \Pi_\Omega \Leftrightarrow \alpha = \{A_i\}_{i=1}^n$

$$\begin{cases} A_i \in F_\Omega \quad \forall i \in \{1, 2, \dots, n\}; \\ A_i \cap A_{i'} = \emptyset, \quad \forall i \neq i' \in \{1, 2, \dots, n\}; \\ A_1 \cup \dots \cup A_n = \Omega. \end{cases} \quad (1)$$

Let some arbitrary partitions  $\alpha, \beta, \gamma \in \Pi_\Omega$  ( $\alpha = \{A_i\}_{i=1}^n, \beta = \{B_j\}_{j=1}^m, \gamma = \{C_k\}_{k=1}^l$ ) be given.

The task is to define, research and interpret metrical properties under different relations between  $\alpha, \beta, \gamma$ .

We shall explore set  $\Pi_\Omega$  of finite partitions of arbitrary measurable set  $\Omega$ .

Earlier in [5] a metric was defined and researched

$$\rho(\alpha, \beta) = \sum_{i=1}^n \mu(A_i \Delta B_j) \mu(A_i \cap B_j), \quad (2)$$

where  $\Delta$  is a symmetrical difference of the sets.

Let us show that functional (2) has the equivalent form

$$\begin{aligned} \rho(\alpha, \beta) = & \sum_{i=1}^n [\mu(A_i)]^2 + \sum_{j=1}^m [\mu(B_j)]^2 - \\ & - 2 \sum_{i=1}^n \sum_{j=1}^m [\mu(A_i \cap B_j)]^2, \end{aligned} \quad (3)$$

which practically coincides with the Mirkin metric [3].

For any measurable (finite) sets  $E$  and  $F$  takes place

$$\mu(E \Delta F) = \mu(E) + \mu(F) - 2\mu(E \cap F). \quad (4)$$

Indeed, taking into account equalities  $E = (E \setminus F) \cup (E \cap F)$  and  $F = (F \setminus E) \cup (F \cap E)$ , at that  $E \setminus F$  and  $E \cap F$  do not intersect, from the measure's property of additivity we get

$$\mu(E) = \mu(E \setminus F) + \mu(E \cap F),$$

$$\mu(F) = \mu(F \setminus E) + \mu(E \cap F),$$

summarizing which with consideration of  $E \Delta F = (E \setminus F) \cup (F \setminus E)$ , we get

$$\begin{aligned} \mu(E) + \mu(F) &= \mu(E \setminus F) + \mu(F \setminus E) + 2\mu(E \cap F) = \\ &= \mu(E \Delta F) + 2\mu(E \cap F) \end{aligned}$$

what is equivalent to (4).

Applying (4) to  $A_i$  and  $B_j$ , we shall represent (2) in the form of

$$\begin{aligned} \rho(\alpha, \beta) &= \sum_{i=1}^n \sum_{j=1}^m \mu(A_i \Delta B_j) \mu(A_i \cap B_j) = \\ &= \sum_{i=1}^n \sum_{j=1}^m \mu(A_i \cap B_j) [\mu(A_i) + \mu(B_j) - 2\mu(A_i \cap B_j)] = \\ &= \sum_{i=1}^n \sum_{j=1}^m \mu(A_i) \mu(A_i \cap B_j) + \sum_{i=1}^n \sum_{j=1}^m \mu(B_j) \mu(A_i \cap B_j) - \\ &- 2 \sum_{i=1}^n \sum_{j=1}^m [\mu(A_i \cap B_j)]^2 = \sum_{i=1}^n \mu(A_i) \sum_{j=1}^m \mu(A_i \cap B_j) + \\ &+ \sum_{j=1}^m \mu(B_j) \sum_{i=1}^n \mu(B_j \cap A_i) - 2 \sum_{i=1}^n \sum_{j=1}^m [\mu(A_i \cap B_j)]^2. \end{aligned}$$

In the chain of these equations we shall extract sums

of type

$$\sum_{j=1}^m \mu(A_i \cap B_j), \quad \sum_{i=1}^n \mu(B_j \cap A_i),$$

and, applying to them property  $\forall D \subseteq \Omega, \forall \alpha \in \Pi_\Omega$

$\mu(D) = \sum_{i=1}^n \mu(D \cap A_i)$ , which directly follows from measure's additivity, implying under  $D = A_i$  and  $B_j$  correspondingly, we shall get

$$\sum_{j=1}^m \mu(A_i \cap B_j) = \mu(A_i), \quad \sum_{i=1}^n \mu(B_j \cap A_i) = \mu(B_j)$$

for any  $i$  and  $j$ , where  $i = \overline{1, n}, j = \overline{1, m}$ , what after substitution to (5) gives us (2).

For the research of properties of the embedded partitions we shall introduce two interrelated functionals

$$S(\alpha) = \sum_{i=1}^n \mu^2(A_i), \quad G(\alpha) = \rho(\alpha, O),$$

where  $O$  is a conditionally 'zero' partition, which consist of the initial set  $\Omega$  itself  $\Omega$ , i.e.  $O = \{\Omega\}$ .

The metric on partitions is conveniently defined through these functionals, and its properties consist of the properties of these functionals. Further we assume that given below relations for  $\rho(\alpha, \beta)$ ,  $S(\alpha)$  and  $G(\alpha)$  are fulfilled for any finite partitions (if some limitations are needed, they will be especially noted). It should be emphasised that under  $\alpha\beta$  we shall understand the intersection of two arbitrary partitions.

More precisely: intersection  $\alpha\beta = \{A_i \cap B_j\}_{i=1, n, j=1, m}$  of pair  $\alpha, \beta \in \Pi_\Omega$  of arbitrary partitions is also a partition.

Indeed, if to take an arbitrary element  $\omega \in \Omega$ , then as  $\alpha$  and  $\beta$  are partitions, the numbers  $j \in \{1, \dots, m\}$  can be found, for which  $\omega \in A_i$  and  $\omega \in B_j$ , i.e.  $\omega \in A_i \cap B_j$ . Thus,

$$\bigcup_{i=1}^n \bigcup_{j=1}^m \{A_i \cap B_j\} = \Omega.$$

On the other hand under associativity and commutativity of the sets intersection operation, we can record

$$(A_i \cap B_j) \cap (A_{i'} \cap B_{j'}) = (A_i \cap A_{i'}) \cap (B_j \cap B_{j'}).$$

But since pairs  $(i, j)$  and  $(i', j')$  are not equal, then either  $i \neq i'$ , or  $j \neq j'$ , or these equations are fulfilled simultaneously, what means that one of the sets  $A_i \cap A_{i'}$  or  $B_j \cap B_{j'}$  is equal to  $\emptyset$ , as they belong to partitions  $\alpha$  and  $\beta$  correspondingly. Thus in result we have  $(A_i \cap B_j) \cap (A_{i'} \cap B_{j'}) = \emptyset$  for

any pairs  $(i, j), (i', j') \in \{1, \dots, n\} \times \{1, \dots, m\}$ , i.e. together with equality (7) we get fulfilment of the relations (1), what was required.

### 3. METRICAL PROPERTIES

We shall stop at the main properties  $\rho(\alpha, \beta)$ ,  $S(\alpha)$  and  $G(\alpha)$ .

**Property 1.**  $\rho(\alpha, \beta) = S(\alpha) + S(\beta) - 2S(\alpha\beta)$ .

**Property 2.**  $\rho(\alpha, \beta) = 2G(\alpha\beta) - G(\alpha) - G(\beta)$ .

These properties follow from the definition of the initial metric and functionals. The results of these definitions are properties 3 and 4, which point at the connection between  $S(\alpha)$ ,  $G(\alpha)$  and valuation of the functionals on the partition  $\mathbb{O}$ .

**Property 3.**  $S(\mathbb{O}) = \mu^2(\Omega)$ ,  $G(\mathbb{O}) = \mathbb{O}$ .

**Property 4.**

i)  $G(\alpha) = S(\mathbb{O}) - S(\alpha) = \mu^2(\Omega) - S(\alpha)$ ;

ii)  $S(\alpha) = S(\mathbb{O}) - G(\alpha) = \mu^2(\Omega) - G(\alpha)$ ;

iii)  $S(\alpha) + G(\alpha) = S(\mathbb{O}) = \mu^2(\Omega)$ .

From triangular inequality there follow two more properties for three partitions.

**Property 5.**  $S(\alpha) + S(\beta\gamma) \geq S(\alpha\beta) + S(\alpha\gamma)$ .

**Property 6.**  $G(\alpha\beta) + G(\alpha\gamma) \geq G(\alpha) + G(\beta\gamma)$ .

From the positiveness of the metric we get the next properties:

**Property 7.**

$$2S(\alpha\beta) \leq S(\alpha) + S(\beta) \leq S(\alpha\beta) + \mu^2(\Omega).$$

**Property 8.**

$$2G(\alpha\beta) \geq G(\alpha) + G(\beta) \geq G(\alpha\beta) + \mu^2(\Omega).$$

Further for the briefness we will list the properties of functional  $S(\alpha)$ . Properties of the metric and functional  $G(\alpha)$  can be easily obtained, as hereinabove their direct relation was specified. The stated before properties result in the next property:

**Property 9.**  $S(\alpha\beta) < \mu^2(\Omega)$ .

**Property 10.**  $S(\alpha) < \mu^2(\Omega)$ .

**Property 11.**  $S(\alpha\beta) \leq S(\alpha)$ .

**Property 12.**

If  $\alpha, \beta \subset \gamma$ , then  $\rho(\alpha, \beta) + S(\alpha\beta) \leq S(\gamma)$ .

**Property 13.**

If  $\alpha, \beta \subset \gamma$ , then  $S(\alpha\beta) < S(\gamma)$ ,  $\rho(\alpha, \beta) < S(\gamma)$ .

**Property 14.**  $\rho(\alpha, \beta) < \mu^2(\Omega)$ .

**Property 15.**

$\Pi_{\Omega}$  is an open set, bounded (limited) but does not include its border.

**Property 16.** If  $\alpha \subset \beta$ , then

i)  $\rho(\alpha, \beta) = S(\beta) - S(\alpha) = G(\alpha) - G(\beta)$ ;

ii)  $G(\alpha) \geq G(\beta)$ ,  $S(\alpha) \leq S(\beta)$ ;

iii) elements  $\Pi_{\Omega} : \mathbb{O}$ ,  $\alpha$ ,  $\beta$  conditionally lay on one 'line' in the sense of

$$\rho(\mathbb{O}, \alpha) = \rho(\mathbb{O}, \beta) + \rho(\alpha, \beta),$$

i.e.  $\beta$  is situated between  $\mathbb{O}$  and  $\alpha$ .

**Property 17.**

Under 'detalization' of partition  $\alpha$  functionals  $S(\alpha)$  and  $G(\alpha)$  are behaving as following:  $S(\alpha)$  is decreasing,  $G(\alpha)$  is increasing.

**Property 18.** The case when

$$\lim_{n \rightarrow \infty} \max \mu(A_i) = 0,$$

when  $\alpha = \{A_1, \dots, A_n\}$ ,  $i \in \overline{1, n}$ , then

$$\lim_{n \rightarrow \infty} G(\alpha) = \mu^2(\Omega), \quad \lim_{n \rightarrow \infty} S(\alpha) = 0.$$

**Property 19.**

If  $\alpha \subset \beta \subset \gamma$ , then  $\rho(\alpha, \beta) + S(\alpha\beta) \leq S(\gamma)$ .

**Property 20.**

If  $\alpha \subset \beta \subset \gamma$ , then  $\rho(\alpha, \beta) + \rho(\beta, \gamma) = \rho(\alpha, \gamma)$ ,

means that 'point'  $\beta$  lays on the 'line', passing through 'points'  $\alpha$  and  $\gamma$  between them.

**Property 21.**

$$\forall \alpha, \beta, \gamma \in \Pi_{\Omega} \Rightarrow S(\gamma) \geq S(\alpha\gamma) + S(\beta\gamma) - S(\alpha\beta).$$

Indeed, from Property 1 and triangular inequality we get

$$\begin{aligned} S(\alpha) + S(\gamma) - 2S(\alpha\gamma) + S(\beta) + S(\gamma) - 2S(\beta\gamma) &\geq \\ &\geq S(\alpha) + S(\beta) - 2S(\alpha\beta), \end{aligned}$$

whence it follows the required.

In conclusion we shall discuss 'geometry' of set  $\Pi_{\Omega}$ .

1.  $\Pi_{\Omega}$  belongs to a circle with centre in  $\mathbb{O}$  and radius  $\mu^2(\Omega)$ .

2.  $\Pi_{\Omega}$  has 'diameter'  $\mu^2(\Omega)$ , as  $\rho(\alpha, \beta) < \mu^2(\Omega)$ .

3.  $\Pi_{\Omega}$  is a sheaf of 'lines', which pass through  $\mathbb{O}$ , but do not tend to infinity under the limitation.

4.  $\Pi_{\Omega}$  contains lines which intersect infinite times.

Let us refine this statement. If we start from any 'point'  $\alpha$ , on moving along any 'line', it would mean

the detalization process of partition  $\alpha$ . At that if we consider two different kind of 'detalization' in form of partitions  $\beta_1$  and  $\beta_2$ , then 'lines', passing through 'points'  $\alpha$  and  $\beta_1$ , and also  $\alpha$  and  $\beta_2$ , will be 'intersecting' in point  $\beta_1\beta_2$  and so on. It can be illustrated as following.

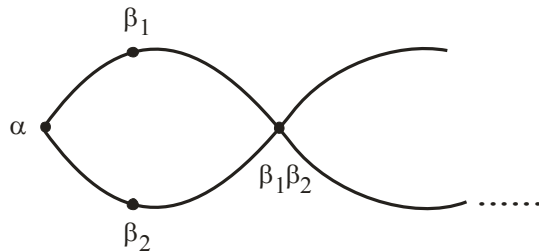


Figure. 1 The sheaf of infinitely intersecting lines

We can conditionally single out some 'infinite' partition (as the limit of the infinite detailing of the  $\odot$  partition), which is embedded into any other one. Then all 'lines' from  $\Pi_\Omega$  can start from any other 'point'  $\alpha$ , but will always include 'point'  $\odot$ .

#### 4. EXPERIMENTS AND DISCUSSION

The explicit metrics expressions provide formulations and proofs of geometric properties of nested partitions. On the whole, offered metrics on partial ordered quotient sets are underlying tools of image content analysis as they comply with construction of a hierarchy either the top-down or the bottom-up approaches and one can explain wholes by decomposing them into smaller and smaller parts or alternatively one can construct wholes from smaller parts.

Efficient matching the collections of image partitions stipulated by the properties of the metric on nested partitions does not only provide the similarity measure for image segmentations, which enables the use of the image retrieval systems in a wider variety of applications but it also solves the problem of dependency on the segmentation results when obtaining partitions with low level of detail and high level of detail (Figure2).



Figure. 2 Image and its partitions with different level of detail.

Experiments have shown that as background is the biggest "object" in the image metric of partitions, we can search for images with the same background layout. In many situations only a part of the image

which corresponds to object(s) need to be retrieved. Here a search criterion for background independent objects comes can be suggested.

From the image understanding point of view, the method allows to analyze objects represented at different levels of granularity and abstraction.



Figure. 3 The result of most similar in sense of nesting criterion images retrieved from database for each of partitions of the query image (Fig. 2)

#### 5. REFERENCES

- [1] Y. Rubner, C. Tomasi, L.J. Guibas, The Earth Mover's Distance as a Metric for Image Retrieval, Int. Journal of Computer Vision, Vol. 40, No 2: 99–121, 2000.
- [2] M. Meila, Comparing Clusterings by the Variation of Information, Computational Learning Theory and Kernel Machines, B. Schölkopf and M.K. Warmuth (Eds.), Lecture Notes in Artificial Intelligence, Berlin-Heidelberg, Springer-Verlag, vol. 2777: 173–187, 2003.
- [3] B. Mirkin, Mathematical Classification and Clustering (Nonconvex Optimization and Its Applications), Kluwer Academics Publishers: 448 p., 1996.
- [4] S. van Dongen Performance Criteria for Graph Clustering and Markov Cluster Experiments, Technical Report INS-R0012, Stichting Mathematisch Centrum, Amsterdam (NL): 36 p., 2000.
- [5] V. Mashtalir, E. Mikhnova, V. Shlyakhov, E. Yegorova, A Novel Metric on Partitions for Image Segmentation, Proc. of the IEEE Int. Conf. on Video and Signal Based Surveillance, 6 p., 2006.
- [6] Kinoshenko D., Mashtalir V., Shlyakhov V. A Partition Metric for Clustering Features Analysis, Int. Journal "Information Theories and Applications", Vol. 14. No 3: 230-236, 2007.